

22096 ✓



IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor Zoltan GREFF et al
Patent App. 10/030,436 (US Nat'l phase of PCT/HU00/00074)
Filed 4 January 2002
For 2,3-BENZODIAZEPINE DERIVATIVES
Art Unit Not known
Hon. Commissioner of Patents
Washington, DC 20231

COMMUNICATION

This is in reply to the Notification of Missing Requirements (Form PCT/DO/EO/905) of 22 March 2002. The undersigned filed the Declaration and Power of Attorney on 21 March 2002.

A copy of the confirmation post card as well as a copy of the filed papers are enclosed. Please issue a Notice of Acceptance of Application (Form PCT/DO/EO/903).

The undersigned attorney of record hereby certifies that the enclosed copies are true copies of the papers filed in the above application on 21 March 2002 and respectfully requests that this date be granted as the filing date of the application.

Respectfully submitted,
The Firm of Karl F. Ross P.C.

by: 
Jonathan Myers 26,963
Attorney for Applicant

rg
2 April 2002
5676 Riverdale Avenue Box 900
Bronx, NY 10471-0900
Cust. No.: 535
Tel: (718) 884-6600
Fax: (718) 601-1099
Enclosures

04-04-02 JC05 Rec'd PCT/PTO 03 APR 2002 Pct

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JCI48 PCT/PTO 21 MAR 2002

22096—Zoltan GREFF et al.

Ser. No. 10/030,436 US Phase of PCT/HU00/00074

COMPLETION OF FILING REQUIREMENTS
PCT TRANSMITTAL

DECLARATION & POWER OF ATTORNEY

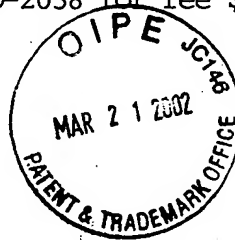
Assignment w/cover letter &
Form PTO-2038 for fee \$40.00

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Signature
The Firm of Karl F. Ross, P.C.

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Inventor Zoltan GREFF et al
Patent App. 10/030,436 (US Nat'l phase of PCT/HU00/00074)
Filed 4 January 2002
For 2,3-BENZODIAZEPINE DERIVATIVES
Hon. Commissioner of Patents
Washington, DC 20231

Signature
The Firm of Karl F. Ross, P.C.

RECORD OF TRANSMITTAL--PCT APPLICATION

- ☒ PCT Transmittal
- ☐ PCT Application
- ☐ Translation
- ☐ Sheets of Drawing (0)
- ☒ PCT Declaration
- ☐ PCT Documents
- ☐ International Search Report
- ☐ Preliminary Amendment
- ☒ Assignment (with PTO-1595 and sep. PTO-2038)
- ☒ PTO-2038 for Official Fees

☒ Late filing

Total

\$130.00

\$130.00

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

21 March 2002

5676 Riverdale Ave. Box 900
Bronx, NY 10471-0900
Tel: (718) 884-6600
Fax: (718) 601-1099
Customer No. 535
rg

Respectfully submitted,
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752

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PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

22096

US. Application No. (if known)

10/030436

PRIORITY DATE CLAIMED

7 July 1999

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371

INTERNATIONAL APP. NO.

PCT/HU00/00074

INTERNATIONAL FILING DATE

4 July 2000

TITLE OF INVENTION

2,3-BENZODIAZEPINE DERIVATIVES

APPLICANT(S) FOR DO/EO/US

Zoltan GREFF et al

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JUL 01 2002

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Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

US Application no (if known) 10/030436	International Application no. PCT/HU00/00074	Attorney's Docket No. 22096
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17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JP \$890.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) \$710.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$740.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO \$1040.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims					
Ind. claims					
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)					

TOTAL OF ABOVE CALCULATIONS

\$130

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)

\$0

SUBTOTAL

\$130

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE

\$130

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)

\$40

TOTAL FEES ENCLOSED

\$170

COPY

Amt to be refunded

Amt to be charged

- a. ☐ A check in the amount of \$130 to cover the above fees is enclosed
- b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
- d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed
- e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.
5676 Riverdale Ave. Box 900
Riverdale (Bronx), NY 10471

Herbert Dubno, Reg. No. 19,752



UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,436	Zoltan Greef	22096

INTERNATIONAL APPLICATION NO.

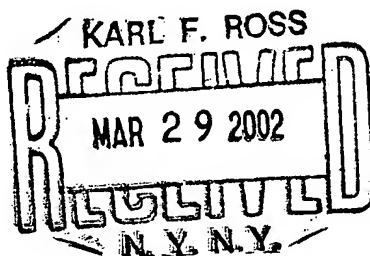
PCT/HU00/00074

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

07/04/2000

07/07/1999

The Firm Of Karl F. Ross
 5676 Riverdale Ave.Box 900
 Riverdale (Bronx), NY 10471



CONFIRMATION NO. 6522

371 FORMALITIES LETTER



OC000000007696894

Date Mailed: 03/22/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,436	PCT/HU00/00074	22096

FORM PCT/DO/EO/905 (371 Formalities Notice)